

04-23-01

A

NON-PROVISIONAL
UTILITY PATENT APPLICATION
TRANSMITTAL - 37 CFR 1.53(b)

☐ Duplicate
(check, if applicable)

JC962 U.S. PTO

09/839813

04/20/01

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

Attorney Docket No. FISHER

First Named Inventor: Henry

Express Mail Label No. EL719361844US

Total Pages of Transmittal Form: 2

Transmitted herewith for filing is the **non-provisional** utility patent application entitled:

**NEW PLANTS FORMED BY MICROPARTICLE BOMBARDMENT WITH
UNCHARACTERISED DNA**

which is:

an ☐ Original; or

- a ☒ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)
of prior PCT Application No. PCT/AU99/00897, filed on October 18, 1999
(International Publication No. WO00/24244 published on May 4, 2000 [copy
enclosed]); Provisional Patent Application No. PP6646 filed October 22, 1998
- ☐ This non-provisional patent application is based on Provisional Patent Applications
No. _____, filed _____.

Enclosed are:

- ☒ Specification (including Abstract) and claims: 24 pages.
☒ Newly executed/unexecuted Declaration (original/copy).
☐ Copy of Declaration from prior application.
☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
☒ 2 sheets of drawings (formal) plus one copy.
☐ Microfiche computer program (Appendix).
☐ Copy of Nucleotide and/or Amino Acid Sequence Submission, including:
☐ Computer readable copy ☐ Paper Copy (___ pages) and copy of Verified Statement
filed on _____, in parent U.S. Application No. _____.
☐ Under PTO-1595 cover sheet, an assignment of the invention.
☐ Certified copy of _____ Application No. _____, filed _____, is filed:
☐ herewith or ☐ in prior application _____.
☒ Applicants by their undersigned attorney, claim Small Entity Status under 37
C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business
Concern; ☒ a Non-Profit Organization.
☒ Preliminary Amendment.
☐ Information Disclosure Statement, PTO-1449, and cited references.
☐ Other: _____.

The filing fee has been calculated as shown below:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$355			BASIC FEE: \$710	
Total	21-20=	1	X9	\$ 9.00	OR	X__	\$
Independent	2- 3 =	0	X40	\$	OR	X__	\$
Multiple Dependent Claims Present:			\$135	\$	OR	\$__	\$
			TOTAL	\$ 364.00	OR	TOTAL	\$

☐ The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts

☒ A check in the amount of **\$344.00** to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-0310** as noted below. A duplicate copy of this sheet is enclosed.

☒ Any overpayments or deficiencies in the above-calculated fee.

☐ Filing fee in the amount of \$ _____ as calculated above.

☒ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.

☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

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April 20, 2001
(Date)

KD/kck
Enclosures